

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2/IBS

In re application of:  Joseph Samuel Brattain, <i>et al</i>	: Group Art Unit:
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: "Client Account and Information Management System and Method"	: 11400 Burnet Road
	: Internal Zip 4054
	: Austin, Texas 78758

10843 U.S. PRO  
09/735444  
12/12/00

Date: 12/12/00

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink that reads "David Mims Jr." with a stylized flourish at the end.

David A. Mims, Jr.  
Attorney for Applicants  
Registration No. 32,708  
(512) 823-0950



In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

LIST OF PATENTS AND PUBLICATIONS FOR

Applicant: Joseph Samuel Brattain

APPLICANT'S INFORMATION DISCLOSURE

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

STATEMENT

Atty. Docket No. AUS9-2000-0737-US1

Reference Designation			<u>U. S. PATENT DOCUMENTS</u>			Filing Date
Examiner	Document					if
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Appropriate</u>
_____	AA 5,862,223	01/19/99	Walker, et al	380	25	
_____	AB 6,122,625	09/19/00	Rosen	705	65	
_____	AC					
_____	AD					
_____	AE					

			<u>FOREIGN PATENT DOCUMENTS</u>			Translation
Examiner	Document					
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Yes</u> <u>No</u>
_____	AF					
_____	AG					
_____	AH					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Initial

\_\_\_\_\_ AJ  
 \_\_\_\_\_ AK  
 \_\_\_\_\_ AL  
 \_\_\_\_\_ AM

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.